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Examiner Forman, Betty J.

Group Art Unit 1634

# OFFICIAL COMMUNICATION

## FOR THE PERSONAL ATTENTION OF

# **EXAMINER Forman, Betty J.**

### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of Empedocles, et al., Application No. 09/784,645, filed February 15, 2001 for MICROARRAY METHODS UTILIZING SEMICONDUCTOR NANOCRYSTALS is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

#### Document(s) Attached

- 1. Transmittal Form
- 2. Amendment Under 37 CFR 1.116 Expedited Procedure Examining Group 1634

Number of pages being transmitted, including this page:

Dated: January 8, 2003

Scott L. Ausenhus

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| Fee Attached  | (to be used for all corres | <b>)RM</b><br>spondence after in | nitial filing) | First Named Inventor Group Art Unit | Stephen A. Empedocles.                                     |
|---|----------------------------|----------------------------------|----------------|-------------------------------------|--|
| (to be used for all correspondence after initial filling)  Group Art Unit  Examiner Name Forman, Betty J.  Otal Number of Pages In This Submission  I Attorney Docket Number O19915-001210US  ENCLOSURES (check all that apply)  Assignment Papers (for an Application)  Pee Transmilital Form Pree Attached Drawing(s)  Arter Final Petition Petition Petition Petition Proprietary Information Drawing of Correspondence Address Provisional Application Change of Correspondence Address Provisional Disclaimer Request Information Disclosure Statement CD, Number of CD(s)  Response to Missing Parts Incomplete Application Response to Missing Parts Under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm And Townsend and Townsend and Crew LLP Scott L. Ausenhus Reg. No. 42.271 | (to be used for all corres | spondence after in               |                | Group Art Unit                      |  |
| Examiner Name Forman, Betty J.    Status Letter   Power of Attorney Docket Number   Proprietary Information Disclosure Statement   Power of Corespondence Address   Pacsimile Cover Sheet   | otal Number of Pages In    |                                  |                | <u> </u>                            | 1634   |
| Atterney Docket Number   019916-001210US  |                            | This Submission                  | 1              | Examiner Name                       |  |
| Fee Transmittal Form  |                            | This Submission                  | 1              |                                     | Forman, Betty J.   |
| Fee Transmittal Form  | Fee Transmittal Form       |                                  |                | Attorney Docket Number              | 019916-001210US  |
| Fee Attached  | Fee Transmittal Form       |                                  | ENCLO          | OSURES (check all that apply        |  |
| Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  After Final  Petition  Petition  Petition to Convert to a Provisional Application  Affidavits/declaration(s)  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer Request  Request for Refund  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm and Individual name  Reg. No. 42,271   |                            | Fee Transmittal Form             |                |                                     | After Allowance Communication to Group                     |
| After Final Petitlon Proprietary Information  Affidavits/declaration(s) Petitlon Proprietary Information  Affidavits/declaration(s) Petitlon Proprietary Information  Affidavits/declaration(s) Petitlon Proprietary Information  Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address  Extension of Time Request Provisional Application  Express Abandonment Request Request Provisional Address (please identity below):  Facsimile Cover Sheet Provisional Account 20-1430.  Certified Copy of Priority Document(s)  Response to Missing Parts/Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm and Individual name  Firm Scott L. Ausenhus Reg. No. 42,271                                | Fee Attached               |                                  | ☐ Drawin       | g(s)                                | Appeal Communication to Board of Appeals and Interferences |
| After Final Proprietary Information Proprietary Information Proprietary Information Provisional Application Status Letter    Aftidavits/declaration(s) Petition to Convert to a Provisional Application   Status Letter   | Amendment / Reply          |                                  | Licensi        | ing-related Papers                  |  |
| Affidavits/declaration(s)  Provisional Application  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  Provisional Application  Provisional Application  Provisional Application  Change of Correspondence Address  (please identity below):  Facsimile Cover Sheet  Copy of Priority Document(s)  Remarks  The Commissioner is authorized to charge any additional fees Deposit Account 20-1430.  Signature Of Application  Signature Of Application  Reg. No. 42,271   | After Final                |                                  | Petitlor       | 1                                   |  |
| Express Abandonment Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  Change of Correspondence Address  Terminal Disclaimer Request for Refund  CD, Number of CD(s)  The Commissioner is authorized to charge any additional fees Deposit Account 20-1430.  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm and Individual name  Reg. No. 42,271   | Affidavits/declara         | ation(s)                         |                |                                     | Status Letter  |
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| Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm and Individual name  Scott L. Ausenhus  Reg. No. 42,271   |                            |                                  | Rema           |                                     |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm and Individual name  Scott L. Ausenhus Reg. No. 42,271  | Response to Missing        | ) Parts/<br>on                   |                | <del></del>                         |  |
| Firm Townsend and Townsend and Crew LLP and Individual name Scott L. Ausenhus Reg. No. 42,271   | Response to Missing Parts  |                                  |                |                                     |  |
| Firm Townsend and Townsend and Crew LLP and Individual name Reg. No. 42,271   |                            | SIGNA                            | TURE OF A      | APPLICANT, ATTORNEY.                | OR AGENT   |
| Individual name Scott L. Ausennus Reg. No. 42,271   |                            |                                  |                |                                     |  |
| Signature Soft And and  | - S                        | cott L. Ausenhus                 |                | Reg. I                              | No. 42,271   |
|   | Signature                  | Scott ()                         | ma             | int                                 |  |
| Date January 8, 2003  | Date Ja                    | anuary 8, 2003                   |                | ·····                               |  |

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January 8, 2003

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Office, Fax No. (703) 308-4242 on January 8, 2003

Scottla Ausenhus Zoth